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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

74

Application Number

10/032,394

Filing Date

December 19, 2001

First Named Inventor

Adityo Prakash

Group Art Unit

2613

Examiner Name

not yet known

Attorney Docket Number

10006.000610

ENCLOSURES (check all that apply)

 Fee Transmittal Form (in duplicate) Letter to the Official Draftsperson After Allowance Communication to Group Fee Attached Corrected Drawing(s) Appeal Communication to Board of Appeals and Interferences Amendment / Response Licensing-related Papers Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Affidavits/declaration(s) Petition to Convert to a Provisional Application Status Letter Express Abandonment Request Power of Attorney Other Enclosure(s)
(please identify below):

Return receipt postcard

 Information Disclosure Statement Signed Declaration Copy of Notice to File Missing Parts of Nonprovisional Application Request for Refund Response to Missing Parts/ Incomplete Application CD, Number of CD(s) _____

Remarks

 Response to Missing Parts under 37 CFR 1.52 or 1.53

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	James K. Okamoto, Reg. No. 40,110 DEGUZMAN OKAMOTO & BENEDICTO, LLP.		
Signature			
Date	February 19, 2002		

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name	James K. Okamoto		
Signature		Date	Feb. 19, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1,109.00)

Complete if Known	
Application Number	10/032,394
Filing Date	December 19, 2001
First Named Inventor	Adityo Prakash
Examiner Name	not yet known
Group / Art Unit	2613
Attorney Docket No.	10006.000610

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- | | |
|--|-----------------------------------|
| Deposit Account Number | 50-2030 |
| Deposit Account Name | deGuzman Okamoto & Benedicto, LLP |
| <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | |
2. Payment Enclosed:
- | | | | |
|---|--------------------------------------|--------------------------------------|--------------------------------|
| <input checked="" type="checkbox"/> Check | <input type="checkbox"/> Credit card | <input type="checkbox"/> Money Order | <input type="checkbox"/> Other |
|---|--------------------------------------|--------------------------------------|--------------------------------|

FEES CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee
106	330	206	165	Design filing fee
107	510	207	255	Plant filing fee
108	740	208	370	Reissue filing fee
114	160	214	80	Provisional filing fee

SUBTOTAL (1)

(\$ 370)

2. EXTRA CLAIM FEES

Total Claims	-20	=	50	X	9	=	450	Extra Claims	Fee from below	Fee Paid
Independent Claims	5	-3	=	2	X	42	=	84		
Multiple Dependent				X			=	0		

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203	9
102	84	202	42
104	280	204	140
109	84	209	42
110	18	210	9

SUBTOTAL (2)

(\$ 534)

3. ADDITIONAL FEES	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,280	241	640	Petition to revive - unintentional
142	1,280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

Other fee (specify): Multiple dependent claim surcharge

140

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 205)

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	James K. Okamoto	Registration No. Attorney/Agent)	40,110
Signature			Telephone 650-691-2030
Date	February 19, 2002		

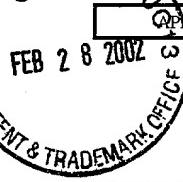
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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UNITED STATES PATENT AND TRADEMARK OFFICE

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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,394	12/19/2001	Adityo Prakash	10006.000610

CONFIRMATION NO. 5415 FORMALITIES LETTER



OC00000007388491

James K. Okamoto
 deGuzman Okamoto & Benedicto LLP
 P.O. Box 51900
 Palo Alto, CA 94303

Date Mailed: 01/30/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$674.
 - \$450 for 50 total claims over 20.
 - \$84 for 2 independent claims over 3 .
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1109.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

E. Akova
Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:	Examiner: not yet known
Adityo Prakash, et al.	Art Unit: 2613
Serial No.: 10/032, 394	Atty. Docket No.:
Filed: December 19, 2001	10006.000610
Title: ADAPTIVE TRANSFORMS	

BOX MISSING PARTS
 Commissioner for Patents
 Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed **01/30/2002** for the above-identified patent application, enclosed are the following:

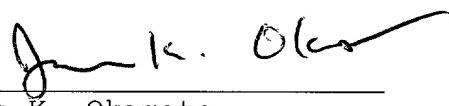
- A copy of the Notice to File Missing Parts of Nonprovisional Application;
- Power of Attorney;
- An original, signed Declaration;
- Payment in the amount of **\$1,109.00** for the filing and surcharge fees;
- Corrected Drawings;
- Letter to Official draftsperson;
- Applicant claims small entity status under 37 C.F.R. section 1.27.

If the Examiner has any questions or needs additional information, the Examiner is invited to contact the undersigned attorney at (650) 691-2030.

Dated: February 19, 2002

Respectfully submitted,

Adityo Prakash, et al.

By: 

James K. Okamoto

Attorney For Applicant(s)

Reg. No. 40,110

DEGUZMAN OKAMOTO & BENEDICTO LLP

P.O. Box 51900

Palo Alto, California 94303

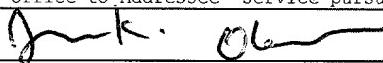
(650) 691-2030

(650) 691-2032 (FAX)

Enclosure(s)

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Signature: 

Typed or Printed Name: James K. Okamoto Dated: Feb. 19, 2002

Express Mail Mailing Number
(optional):

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In Re Application Of:	
Adityo Prakash, et al.	
Serial No:	10/032,394
Filed:	December 19, 2001
Title:	ADAPTIVE TRANSFORMS
	Examiner: not yet known
	Art Unit: 2613
	Atty. Docket No.: 10006.000610

Commissioner for Patents
Washington, D.C. 20231

LETTER TO THE OFFICIAL DRAFTSPERSON
(Request To Substitute Drawings)

Sir:

Subject to the approval of the Primary Examiner in the above-entitled patent application, please substitute the enclosed **56** sheets of drawings, containing amended Figures **Figs. 1A-1H, 2A-2D, 3, 4A-4C, 5A-5D, 6A-6G, 7A-7G, 8, 9A-9B, 10A-10C, 11, 12A-12D, 13, 14A-14B, 15A-15C, 16A-16I, and 17A-17C**, for the **63** sheets of informal drawings containing Figures Figs. **1A-1H, 2A-2D, 3, 4A-4C, 5A-5D, 6A-6G, 7A-7G, 8, 9A-9B, 10A-10C, 11, 12A-12D, 13, 14A-14B, 15A-15C, 16A-16I, and 17A-17C**, as originally filed or as amended.

REMARKS

Applicant respectfully submits that the requested drawing amendment is consistent with the corresponding material in the specification and does not add any new matter to the application.

Should the Examiner have any questions concerning this request, the Examiner is invited to call the undersigned attorney at the number shown below.

Favorable action is respectfully solicited.

Dated: February 19, 2002

Respectfully submitted,

Adityo Prakash, et al.

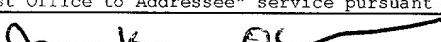
By:

James K. Okamoto
Attorney For Applicant(s)
Reg. No. 40,110
DEGUZMAN OKAMOTO & BENEDICTO LLP
P.O. Box 51900
Palo Alto, California 94303
(650) 691-2030
(650) 691-2032 (FAX)

Enclosure(s)

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Signature:			
Typed or Printed Name:	James K. Okamoto	Dated:	Feb. 19, 2002
Express Mail Mailing Number (optional):			